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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 19 2002

TECH CENTER 1600/2900

In re Patent Application of

KLIEWER et al.

Atty. Ref.: 510-125

Appln. No. 09/276,935

Group Art Unit: 1646

Filed: March 26, 1999

Examiner: M. Pak

FOR: AN ORPHAN NUCLEAR RECEPTOR



\* \* \* \* \*  
**INFORMATION DISCLOSURE STATEMENT**

March 12, 2002

Hon. Commissioner for Patents  
Washington, D.C. 20231

Sir:

Further to the Information Disclosure Statement (IDS) of August 29, 2001, attached is Form PTO-1449 listing the enclosed documents. The search report for Intl. Appln. No. PCT/IB01/01629 will be forwarded after it has been received. With respect to JP 11-127872, the English-translation of its abstract is attached to the patent document as a concise explanation of its relevance as required under 37 CFR § 1.97(a)(3)(i).

This Information Disclosure Statement (IDS) is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under 37 CFR § 1.97(f), to enable Applicants to comply fully.

The Official fee required under 37 CFR § 1.97(d) is filed herewith. Should that fee be missing or inadequate, please charge the deficiency to our Deposit Account No.

14-1140 referencing matter number 510-125, for which purpose this paper is submitted in duplicate.

As provided by 37 CFR §§ 1.97(g) and (h), no inference should be made that this information and the listed references are prior art merely because they have been submitted for consideration. Furthermore, no representation is being made that a search has been conducted or that this statement encompasses all possible material information.

Consideration of the foregoing and enclosures, as well as the return of a copy of the Form PTO-1449 with the Examiner's initials per MPEP § 609, are earnestly solicited. The Examiner is invited to contact the undersigned if further information is needed.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_



Gary R. Tanigawa  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty Dkt. 510-125

C# M#

KLIOWER et al

Group Art Unit: 1646

Serial No. 09/276,935

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Title: AN ORPHAN NUCLEAR RECEPTOR

Assistant Commissioner for Patents  
Washington, DC 20231

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TECH CENTER 1600/2900

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(d)**

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

**Fees are attached as calculated below:**

Total effective claims after amendment 0 minus highest number  
previously paid for 20 (at least 20) = 0 x \$ 18.00 \$ 0.00

Independent claims after amendment 0 minus highest number  
previously paid for 3 (at least 3) = 0 x \$ 84.00 \$ 0.00

If proper multiple dependent claims now added for first time, add \$280.00 (ignore improper) \$ 0.00

Petition is hereby made to extend the current due date so as to cover the filing date of this  
paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months) \$ 0.00

Terminal disclaimer enclosed, add \$ 110.00 \$ 0.00

☐ First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$740.00) \$ 0.00

☐ Please enter the previously unentered, filed

☐ Submission attached

**Subtotal \$ 0.00**

If "small entity," then enter half (1/2) of subtotal and subtract -\$ 0.00

☐ Applicant claims "small entity" status. ☐ Statement filed herewith

Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 180.00

Assignment Recording Fee (\$40.00) \$ 0.00

Other: 0.00

**TOTAL FEE ENCLOSED \$ 180.00**

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

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NIXON & VANDERHYE P.C.  
By Atty: Gary R. Tanigawa, Reg. No. 43,180

Signature: